

Frank Partnoy

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Education

Yale Law School J.D., 1992.
Thurman Arnold Prize, Potter Stewart Prize.

University of Kansas B.A. mathematics, 1989, *summa cum laude*.
B.S. economics, 1989, *summa cum laude*.

Employment

University of San Diego School of Law, San Diego, CA, 1997-
George E. Barrett Professor of Law and Finance, 2009-; Professor, 2001-;
Associate Professor, 1999-2001; Assistant Professor, 1997-99.

Courses: Corporations, Corporate Finance, Deals, Emerging Financial
Markets, International Finance (at University of Paris I), Latin
American Financial Markets, White Collar Offenses.

Awards: Herzog Endowed Scholar, 2003-04.
Thorsnes Prize for Excellence in Teaching, 1998-99.

Service: Chair, Appointments Committee (2001-2003); Chair, Faculty
Colloquium Committee (2000-2001); Chair, Junior Faculty
Roundtable (1997-2001); Director, Law Alumni Board of
Directors (1999-2001); Treasurer, Law Alumni Faculty Golf
League (1997-2001); Member, Self-Study Committee (2004-05);
Member, Appointments Committee (2004-07); Member, Evening
Program Committee (2007); Member, Curriculum Committee
(2000-2009); Member, Development Committee (1998-2001);
Member, Graduate Programs Committee (1997-1999).

Rady School of Management, University of California, San Diego, San Diego, CA
Visiting Professor, 2005.

Course: Regulation and Innovation.

Covington & Burling, Washington, DC, 1995-97.

Derivative Products Group, Morgan Stanley & Co., New York, NY, 1994-95.

Emerging Markets Derivatives Group, CS First Boston, New York, NY, 1993-94.

Law Clerk, Hon. Michael B. Mukasey, U.S. District Judge, SDNY, New York, NY, 1992-93.

Publications

BOOKS

BUSINESS ORGANIZATION AND FINANCE, LEGAL AND ECONOMIC PRINCIPLES (with John C. Coffee, Jr. and William A. Klein) (Thomson West forthcoming 2010)

THE MATCH KING (PublicAffairs forthcoming 2009).

CORPORATIONS: A CONTEMPORARY APPROACH (Thomson West forthcoming 2009) (with Alan R. Palmiter).

CORPORATIONS LAW AND POLICY: MATERIALS AND PROBLEMS (Thomson West 2005 Supp. and 2006 Supp., 6th Ed. 2007, with Jeffrey D. Bauman and Alan R. Palmiter).

INFECTIOUS GREED: HOW DECEIT AND RISK CORRUPTED THE FINANCIAL MARKETS (Henry Holt/Times Books 2003).

Editions: Paperback (Henry Holt/Owl Books 2004); Australian, British, Hong Kong.

Translations: Korean, Spanish.

Reviews: New York Times Book Review, Washington Post Book World, Wall Street Journal, London Times, Financial Times, several dozen others.

F.I.A.S.C.O.: BLOOD IN THE WATER ON WALL STREET (W.W. Norton 1997).

Editions: Paperback (Penguin 1999); Australian, British, Hong Kong.

Translations: Chinese, Japanese, German, Korean, Portuguese, Russian.

Awards: Finalist, Financial Times/Booz-Allen Global Business Book Award, 1997.

San Diego Union Tribune Author of the Year, 1997.

Reviews: New York Times Book Review, Los Angeles Times Book Review, London Times, Financial Times, several dozen others.

BOOK CHAPTERS

Gap Filling, Hedge Funds, and Financial Innovation, in NEW FINANCIAL INSTRUMENTS AND INSTITUTIONS: OPPORTUNITIES AND POLICY CHALLENGES (Brookings Institution Press 2007, Yasuyuki Fuchita and Robert E. Litan, eds.) (with Randall Thomas).

How and Why Credit Rating Agencies Are Not Like Other Gatekeepers, in FINANCIAL GATEKEEPERS: CAN THEY PROTECT INVESTORS? (Brookings Institution Press 2006, Yasuyuki Fuchita and Robert E. Litan, eds.).

Enron and the Derivatives World, in ENRON: CORPORATE FIASCOS AND THEIR IMPLICATIONS (Foundation Press 2004, Nancy B. Rapoport and Bala G. Dharan, eds.).

ISDA, NASD, CFMA, and SDNY: The Four Horsemen of Derivatives Regulation?, in BROOKINGS-WHARTON PAPERS ON FINANCIAL SERVICES (Brookings Institution Press 2002, Robert E. Litan and Richard Herring, eds.).

The Paradox of Credit Ratings, in THE ROLE OF CREDIT REPORTING SYSTEMS IN THE INTERNATIONAL ECONOMY (Kluwer Academic Publishers 2002, Richard M. Levitch, Giovanni Majnoni, and Carmen Reinhart, eds.).

PUBLISHED ARTICLES

The Returns to Shareholder Activism, FINANCIAL ANALYSTS JOURNAL (2008) (with Alon Brav, Wei Jiang, and Randall Thomas).

Hedge Fund Activism, Corporate Governance, and Firm Performance, 63 JOURNAL OF FINANCE 1729 (2008) (with Alon Brav, Wei Jiang, and Randall Thomas).

The Promise and Perils of Credit Derivatives, 75 UNIVERSITY OF CINCINNATI LAW REVIEW 1019 (2007) (invited symposium) (with David A. Skeel, Jr.).

Second-Order Benefits from Standards, 47 BOSTON COLLEGE LAW REVIEW 169 (2007) (invited symposium).

Alternative Structures and Strategies for Investors, 1 JOURNAL OF BUSINESS & TECHNOLOGY LAW 84 (2006) (invited symposium).

Financial Innovation and Corporate Law, 36 JOURNAL OF CORPORATION LAW 799 (2006) (invited symposium).

Encumbered Shares, 2005 UNIVERSITY OF ILLINOIS LAW REVIEW 775 (2005) (with Shaun P. Martin).

Synthetic Common Law, 53 UNIVERSITY OF KANSAS LAW REVIEW 281 (2005).

Strict Liability for Gatekeepers: A Reply to Professor Coffee, 84 BOSTON UNIVERSITY LAW REVIEW 365 (2004) (invited symposium).

A Revisionist View of Enron and the Sudden Death of "May," 48 VILLANOVA LAW REVIEW 1245 (2003) (invited symposium), reprinted in ENRON AND WORLD FINANCE: A CASE STUDY IN ETHICS (2006).

Multinational Regulatory Competition and Single-Stock Futures, 21 NORTHWESTERN JOURNAL OF LAW AND INTERNATIONAL BUSINESS 641 (2001) (invited symposium).

Barbarians at the Gatekeepers?: A Proposal for a Modified Strict Liability Regime, 79 WASHINGTON UNIVERSITY LAW QUARTERLY 491 (2001) (invited symposium).

The Shifting Contours of Global Derivatives Regulation, 22 UNIVERSITY OF PENNSYLVANIA JOURNAL OF INTERNATIONAL ECONOMIC LAW 421 (2001) (invited symposium).

Why Markets Crash and What Law Can Do About It, 61 UNIVERSITY OF PITTSBURGH LAW REVIEW 741 (2000).

Adding Derivatives to the Corporate Law Mix, 34 GEORGIA LAW REVIEW 599 (2000) (invited symposium).

The Siskel and Ebert of Financial Markets: Two Thumbs Down for the Credit Rating Agencies, 77 WASHINGTON UNIVERSITY LAW QUARTERLY 619 (1999), reprinted at 33 SECURITIES LAW REVIEW 161 (2001).

Financial Derivatives and the Costs of Regulatory Arbitrage, 22 JOURNAL OF CORPORATION LAW 211 (1997).

WORKING PAPERS

The Role of Ratings (forthcoming Federal Reserve Bank of Chicago, international conference papers)

Shapeshifting Corporations (forthcoming UNIVERSITY OF CHICAGO LAW REVIEW 2009)

Finance and Patent Length (forthcoming book chapter, Cambridge University Press 2009).

ESSAYS AND OTHER PUBLICATIONS

Prepare to Bury the Fatally Wounded Big Banks, FINANCIAL TIMES, Jan. 19, 2009.

Citigroup Bailout Is Smart But Not Risk-free, FINANCIAL TIMES, Nov. 27, 2008, at 13.

Hedge Fund Managers Are the Heroes of this Crisis, THE DAILY BEAST, Nov. 18, 2008.

Buy the Loans, NEW YORK TIMES, Sep. 26, 2008, at A21,

Hubris – Is Thy Name Richard Fuld?, FINANCIAL TIMES, Sep. 14, 2008, at 13.

Do Investors Have a Right to Know about a CEO's Illness?, FINANCIAL TIMES, Jul. 30, 2008, at 12.

Do Away with Rating-Based Rules, FINANCIAL TIMES, Jul. 9, 2008, at 15.

The Gamble of Short-Term Pain for Long-Term Gain, FINANCIAL TIMES, Feb. 4, 2008, at 13.

Kerviel Is Just Part of a Global Rogues' Gallery, FINANCIAL TIMES, Jan. 28, 2008, at 9.

Markets Abhor the Vacuum Left by Derivatives, FINANCIAL TIMES, Aug. 10, 2007, at 11.

A Gamekeeper Turns to the Poachers, FINANCIAL TIMES, Jun. 6, 2007, at 15.

Credit Derivatives Play a Dangerous Game, FINANCIAL TIMES, Jul. 17, 2006, at 17.

The Malignant Side of Corporate Voting Culture, FINANCIAL TIMES, May 17, 2006, at 17.

Take Away the Credit Rating Agencies' Licenses, FINANCIAL TIMES, Mar. 13, 2006, at 13.

When Disney Wishes upon a Pixar ..., FINANCIAL TIMES, Jan. 24, 2006, at 21.

Investing in Fantasy Land, FINANCIAL TIMES, Dec. 28, 2005, at 13.

The Case against Alan Greenspan, EUROMONEY, Sept. 1, 2005, at 90.

Must-Reads for Budding Fraudsters, FINANCIAL TIMES, Aug. 10, 2005, at 11.

A Serious Question for All the Overpaid Bankers, FINANCIAL TIMES, Aug. 4, 2005, at 15.

Congress Should Open Up Credit Ratings to Competition, FINANCIAL TIMES, Jun. 29, 2005, at 13.

Wall Street's Franchise Is Fading, FINANCIAL TIMES, Apr. 6, 2005, at 13.

Cautionary Tales of Internet Stocks, FINANCIAL TIMES, Feb. 14, 2005, at 19.

Road Rules for Hedge Funds, NEW YORK TIMES, Dec. 14, 2004.

Financial Engineering and Law, FINANCIAL ENGINEERING NEWS, Nov./Dec. 2004.

Why Nobody Mentioned Markets, FINANCIAL TIMES, Oct. 20, 2004, at 17.

A Man's Game in Need of Women, FINANCIAL TIMES, Jul. 26, 2004, at 17.

The Way to a Politician's Heart, FINANCIAL TIMES, Jun. 14, 2004, at 13.

The Case for Smarter Prosecutions, FINANCIAL TIMES, Mar. 11, 2004, at 21.

The Real Mutual Fund Problem, SAN DIEGO UNION-TRIBUNE, Dec. 5, 2003, at B-7.

Financial Risk Management, TREASURY & RISK MANAGEMENT, Jul./Aug. 2003, at 44.

Want to Vote? Answer This ..., NEW YORK TIMES, Jul. 28, 2003, at A17.

The Wrong Way to Prosecute Fraud, SAN DIEGO UNION-TRIBUNE, May 11, 2003, at G-3.

A Comparative Political History of the CFMA and Sarbanes-Oxley, FUTURES & DERIVATIVES LAW REPORT, Apr. 2003, at 4.

Reaping a Bitter Harvest from the Years of Greed, EVENING STANDARD, Apr. 23, 2003, at 34.

Building a Library: Finance, INDEPENDENT ON SUNDAY (LONDON), Apr. 20, 2003, at 13.

Unsound Advice from the Sage of Omaha, FINANCIAL TIMES, Apr. 3, 2003, at 19.

The Rating Agency Paradox, TREASURY & RISK MANAGEMENT, Dec./Jan. 2003, at 52.

Enron and Derivatives, FUTURES & DERIVATIVES LAW REPORT, 2002.

Tracing the Roots of Enron's Downfall, SAN DIEGO UNION-TRIBUNE, Jan. 27, 2002, at G3.

What Dogs Can Teach Us About Securities Regulation: Why Fining Two Mutual Funds For "Window Dressing" Was A Mistake, FINDLAW, Aug. 20, 2001, <<http://writ.news.findlaw.com/commentary/>>.

Some Policy Implications of Single-Stock Futures, FUTURES & DERIVATIVES LAW REPORT, Mar. 2001, at 8.

Derivatives on TV: A Tale of Two Derivatives Debacles in Prime-Time, GREENBAG (2001) (with Kimberly D. Krawiec and Peter H. Huang), reprinted at 2 DERIVATIVES REP. 15 (2001).

Stock Gambling on the Cheap, NEW YORK TIMES, Dec. 21, 2000, at A39.

Harassment on "The Street," THE READ, Jun. 22, 2000, <<http://www.oxygen.com/read/>>.

Beating Regis: How to Win on "Who Wants to Be a Millionaire," FINDLAW, June 19, 2000, <<http://writ.news.findlaw.com/commentary/>>.

Strange New Math of Palm Inc., NEW YORK TIMES, Mar. 15, 2000, at A29.

Decoding Greenspan, OPEN COURT, AMERICAN LAWYER MEDIA, Apr. 1999 <<http://www.lawnewsnetwork.com/opencourt/>>.

Comments on Proposed Bulgarian Pass-Through Bonds and Mortgage Bonds Act, CENTRAL AND EAST EUROPEAN LAW INITIATIVE (CEELI), Feb. 9, 1999.

Betting on Suing, OPEN COURT, AMERICAN LAWYER MEDIA, Dec. 1998.
<<http://www.lawnewsnetwork.com/opencourt/>>.

Do You Know Where Your Money Is Now?, MAIL ON SUNDAY, Oct. 25, 1998, at 45.

Playing Roulette with the Global Economy, NEW YORK TIMES, Sep. 30, 1998, at A17.

High-Finance Fiction, LOS ANGELES TIMES BOOK REVIEW, Feb. 8, 1998, at 16.

Riding the Rap, WORTH, Feb. 1998, at 113.

Invited Talks and Panels

What Finance Can Teach Law (and Vice Versa), Association of American Law Schools Annual Conference, San Diego, CA, Jan. 10, 2009.

Enforcement Implications of the Financial Crisis, North American Securities Administrators Association Annual Conference, San Diego, CA, Jan. 9, 2009.

Credibility and Financial Markets, Keynote Address, Conference on Credibility, Shenzhen University, Shenzhen, China, Dec. 18, 2008.

The Role of Ratings, Federal Reserve Bank of Chicago International Conference, Chicago, IL, Sep. 25, 2008.

Over-the-Counter Derivatives Regulation and Reform, Derivatives Summit of the Global Fixed Income Institute, London, England, Jul. 8, 2008.

Credit Ratings Regulation and Policy, University of Amsterdam, Amsterdam, The Netherlands, Jul. 4, 2008.

Financial Innovation and the Roots of the Crisis, Keynote Address, Euromoney Global Borrowers and Investors Forum 2008, London, England, Jun. 25, 2008.

Re-Modelling Ratings, Euromoney Global Borrowers and Investors Forum 2008, London, England, Jun. 25, 2008.

Shapeshifting Corporations, University of Chicago Law School, Chicago, IL, Jun. 20, 2008.

How Should Directors Deal with Derivatives and Innovative Structured Financing?, Directors Forum 2008, San Diego, CA, Jan. 14, 2008.

Perspectives on Private Equity and the Future of Public Shareholders, Columbia Law School, New York, NY, Dec. 7, 2007.

Hedge Fund Activism, Corporate Governance, and Firm Performance, Fordham Law School, New York, NY, Oct. 19, 2007.

Hedge Fund Activism, Corporate Governance, and Firm Performance, Brooklyn Law School, New York, NY, Oct. 18, 2007.

The Promise and Perils of Credit Derivatives, American Law and Economics Association, Harvard Law School, Cambridge, MA, May 12, 2007.

Legal and Ethical Issues in Trading, University of Kansas School of Business, Advanced Portfolio Management Seminar, Lawrence, KS, Mar. 5, 2007.

Hedge Fund Activism, Corporate Governance, and Firm Performance, University of Kansas School of Business, Finance Department, Lawrence, KS, Mar. 2, 2007.

Derivative Investment Risks, Conflicts-of-Interest, and Self-Regulation of the Exchanges, Directors Forum 2007, San Diego, CA, Jan. 22, 2007.

Hedge Fund Activism, Corporate Governance, and Firm Performance, Annual Meeting, Association of American Law Schools, Securities Regulation Section, Washington, DC, Jan. 5, 2007.

Hedge Fund Activism, Corporate Governance, and Firm Performance, Vanderbilt University Law School, Nashville, TN, Oct. 13, 2006.

Financial Innovation and Corporate Law, Georgetown University Law Center, Washington, DC, Oct. 2, 2006.

Gatekeepers Revisited, Columbia University School of Law, New York, NY, Sep. 29, 2006.

Gap Filling, Hedge Funds, and Financial Innovation, Brookings-Nomura Conference on Financial Services, The Brookings Institution, Washington, DC, Sep. 12, 2006.

Credit Derivatives and Correlation Risk, Rady School of Management Finance Department Seminar, University of California, San Diego, May 18, 2006.

Hedge Funds and Corporate Governance, University of Illinois Conference on Capital Markets and Corporate Governance, Chicago, IL, Apr. 25, 2006.

Second-Order Benefits from Standards, Boston College Law School, Boston, MA, Mar. 31, 2006.

Corporate Voting and Corporate Governance, UCLA School of Law, Los Angeles, CA, Mar. 20, 2006.

Assessing the Current Oversight and Operations of Credit Rating Agencies, Sworn Testimony before the United States Senate Committee on Banking, Housing, and Urban Affairs, Washington, DC, Mar. 7, 2006.

Patents as Options, Washington University in St. Louis Conference on Commercializing Innovation, Washington University School of Law, St. Louis, MO, Nov. 4, 2005.

An Assessment of the Global Risks Associated with Synthetic Collateralized Debt Obligations, Bayerische Hypo- und Vereinsbank AG Financial Institutions and Agency Funding Conference, Munich, Germany, Sep. 30, 2005.

How and Why Credit Rating Agencies are Not Like Other Gatekeepers, Brookings-Nomura Conference on Financial Services, The Brookings Institution, Washington, DC, Sep. 28, 2005.

Financial Innovation and Corporate Law, University of Iowa College of Law, Iowa City, IA, Sep. 9, 2005.

Legislative Solutions for the Rating Agency Duopoly, Sworn Testimony before the Subcommittee on Capital Markets, Insurance, and Government Sponsored Enterprises, U.S. House of Representatives Committee on Financial Services, Washington, DC, Jun. 29, 2005.

Where Is the Risk?, Euromoney Global Borrowers & Investors Forum, London, England, Jun. 23, 2005.

Designing Groups: Voting Preferences and Path Dependence, The Law and Society Association Annual Meeting, Las Vegas, NV, Jun. 2, 2005.

Infectious Greed, Wilson Lecture in Law and Business, Wake Forest University School of Law and Babcock School of Management, Winston-Salem, NC, Mar. 29, 2005.

Encumbered Shares, University of Kansas School of Law, Lawrence, KS, Feb. 28, 2005.

Encumbered Shares, Boalt Hall School of Law, Berkeley, CA, Jan. 24, 2005.

Mutual Funds, Financial Innovation, and the Product/Service Distinction, University of Maryland Law School, Baltimore, MD, Nov. 5, 2004.

Encumbered Shares, University of San Diego School of Law, San Diego, CA, Oct. 14, 2004.

Director Ethics, Corporate Directors' Forum, San Diego, CA, Oct. 6, 2004.

Infectious Greed, UCLA School of Law, Los Angeles, CA, Feb. 2, 2004.

Are the Markets Out of Control?, University of San Diego School of Law, San Diego, CA, Nov. 17, 2003.

Recent Issues in Corporate Governance, Keynote Address, Society of Actuaries Annual Investment Conference, Toronto, CA, Nov. 11, 2003.

Corporate Voting and Encumbered Shares, Washington University School of Law, St. Louis, MO, Oct. 21, 2003.

Emerging Issues in Structured Finance, Seoul National University College of Law, Seoul, Republic of Korea, Jun. 20, 2003.

Structured Financial Products: Regulation, Law, and Policy, Korean Securities Dealers Association, Seoul, Republic of Korea, Jun. 19, 2003.

Credit Derivatives: Be Afraid, Be Very Afraid, Grant's Interest Rate Observer Spring Investment Conference, New York, NY, Apr. 30, 2003.

Financial Innovation and Accounting, 57th Annual Conference of Accountants, University of Tulsa, Tulsa, OK, Apr. 29, 2003.

Credit Derivatives and Insurance Regulation, National Association of Insurance Commissioners National Meeting, San Diego, CA, Dec. 9, 2002.

An Economic Reality Standard for Financial Market Regulation, Goizueta Business School, Emory University, Atlanta, GA, Nov. 19, 2002.

Research Studies of Individual Companies, Conference on Field Study Methodologies in Legal Research and Teaching about Business, Georgetown Law School, Washington, D.C., Nov. 1, 2002.

Enron and Derivatives, George Washington University School of Law, Washington, D.C., Oct. 7, 2002.

Enron and Derivatives, Villanova Law School Symposium on Lessons from Enron, Villanova, PA, Oct. 5, 2002.

Law and Finance, Keynote Lecture, Courant Institute for Mathematical Finance, New York University, New York, NY, Oct. 3, 2002.

Enron, Derivatives, and the Gatekeepers, Thomas Jefferson School of Law, San Diego, CA, Feb. 26, 2002.

The Fall of Enron: How Could It Have Happened?, Sworn Testimony before the U.S. Senate Committee on Governmental Affairs, Washington, D.C., Jan. 24, 2002.

The Next Stages of Financial Derivatives Regulation, Brookings-Wharton Papers on Financial Services, Washington, D.C., Jan. 10-11, 2002.

Terrorist Insider Trading, Enron, and Financial Derivatives, Heller Ehrman White & McAuliffe, San Diego, CA, Dec. 5, 2001.

The Paradox of Credit Ratings, University of California at San Diego, San Diego, CA, Oct. 11, 2001.

The Gatekeepers of Financial Markets, Institute for Law and Economic Policy Conference on Corporate Accountability, Scottsdale, AZ, Mar. 10, 2001.

The Paradox of Credit Ratings, Conference on the Role of Credit Reporting Systems in the International Economy, Sponsored by The University of Maryland Center for International Economics, New York University Stern School of Business and The World Bank, Washington, D.C., Mar. 2, 2001.

Financial Derivatives Regulation and Synthetic Common Law, London Guildhall University Department of Law, London, England, Jan. 23, 2001.

The Globalization of the Financial Derivatives Markets, University of Pennsylvania School of Law, Philadelphia, PA, Jan. 19, 2001.

The Future of Derivatives Regulation, American Association of Law Schools Annual Conference, Section on Securities Regulation, San Francisco, CA, Jan. 6, 2001.

Conference on Financial Derivatives (Host and Moderator), University of San Diego School of Law, San Diego, CA, Nov. 10, 2000.

Finance Entrepreneurs and Short-Duration Intellectual Property, Law & Entrepreneurship Conference, Lewis & Clark Northwestern School of Law, Portland, OR, Oct. 20, 2000.

Synthetic Common Law, UCLA Second Annual Conference on Corporate Governance, UCLA Law School, Los Angeles, CA, Oct. 13, 2000.

Synthetic Common Law, University of North Carolina School of Law, Chapel Hill, NC, Oct. 5, 2000.

Derivatives Regulation and the U.S. Thrift Industry, Keynote Address, Office of Thrift Supervision West Region Annual Conference, Rohnert Park, CA, Aug. 29, 2000.

Financial Derivatives and Popular Culture, The Law & Society Annual Meeting, Miami, FL, May 27, 2000.

Why Markets Crash and What Law Can Do About It, Northeastern University School of Law, Boston, MA, Mar. 23, 2000.

Rating Agencies: Substitute or Necessary Corollary to the Regulation of Debt Markets?, Duke University Global Capital Markets Center Conference: Reexamining the Regulation of Capital Markets for Debt Securities, Washington, DC, Oct. 18-19, 1999.

Adding Derivatives to the Corporate Law Mix, University of Georgia School of Law Corporate Law Conference, Athens, GA, Oct. 15-16, 1999.

Mark-to-Market for Publicly Traded Securities and Derivatives, University of San Diego Tax Conference: Emerging Changes in Our Tax System? Exploring Potential Benefits and Problems, San Diego, CA, Mar. 19, 1999.

The Siskel and Ebert of Financial Markets: Two Thumbs Down for the Credit Rating Agencies, University of San Diego School of Law Faculty Colloquium, San Diego, CA, Jan. 15, 1999.

Information Asymmetry, Suitability, and the Role of Derivatives Dealers, Derivatives Strategy Derivatives Hall of Fame Conference, New York, NY, Feb. 9, 1998.

The Culture and Economics of Derivatives Trading, Keynote Address, North American Securities Administrators Association (NASAA), 80th Annual Conference, San Antonio, TX, Nov. 17, 1997.

Hundreds of media interviews, including 60 Minutes, The NewsHour, NPR, 1997-date.

Professional Licenses and Affiliations

Founder and Director, Center on Corporate and Securities Law.

Chair and Board Member, Association of American Law Schools, Section on Business Associations.

Board Member, Corporate Directors Forum.

Co-Chair, American Bar Association, Futures and Derivatives Litigation Subcommittee.

Co-Chair, Law and Finance Institute.

Board Member, Futures and Derivatives Law Report.

Advisory Board Member, Financial Services Policy Institute.

Research Fellow, Corporate Governance Institute

Series 3, 7, and 63 registered securities, options, and futures examinations.

Member, New York and District of Columbia bars.